GP 1642
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PATENT 10/20/99

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October 13, 1999
DateJohn T. Oliver
John T. Oliver

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Martin A. Cheever and Mary L. Disis
Application No. : 09/167,516
Filed : October 6, 1998
For : COMPOUNDS FOR ELICITING OR ENHANCING
IMMUNE REACTIVITY TO HER-2/*neu* PROTEIN
FOR PREVENTION OR TREATMENT OF
MALIGNANCIES IN WHICH THE HER-2/*neu*
ONCOGENE IS ASSOCIATED

Art Unit : 1642
Docket No. : 920010.448C8
Date : October 13, 1999

Assistant Commissioner for Patents
Washington, DC 20231

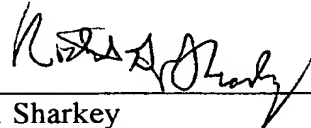
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99 OCT 19 PM 12:23INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, Applicants wish to make known to the Patent and Trademark Office the 68 references set forth on the attached Forms PTO-1449. This application is a continuation and relies, under 35 U.S.C. § 120, on the earlier filing date of prior USAN 08/625,101 filed April 1, 1996, now issued as United States Letters Patent No. 5,869,445; which is a continuation-in-part of USAN 08/414,417, filed March 31, 1995, now issued as United States Letters Patent No. 5,801,005; which is a continuation-in-part of USAN 08/106,112, filed August 12, 1993, now abandoned; which is a continuation-in-part of USAN 08/033,644, filed March 17, 1993, now abandoned. The references listed on the attached Forms PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in these prior applications and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with Applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, Applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
SEED and BERRY LLP



Richard G. Sharkey
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RGS:jto
Enclosures:
Postcard
Forms PTO-1449 (7 Sheets)

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